

# ARTIFICIAL INTELLIGENCE IN PWA

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# Partial Wave Analysis



- A python-based software framework designed to perform Partial Wave and Amplitude Analysis with the goal of extracting resonance information from multi-particle final states.
- Code base has been in development since 2014 and has been significantly improved with each revision - Version 3.0 just released!
- Efficient amplitude analysis framework including multithreading and CUDA support
- Optimizers include: Minuit, Nestle (or add your own!)

## Group Members

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## Former Group Members

Josh Pond

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Website: <https://pypwa.jlab.org>

GitHub: <https://github.com/JeffersonLab/PyPWA>

## Regression on $(\theta, \varphi)$ distributions: Pattern Recognition

### Classic Approach

- Extended Maximum Likelihood - Minuit Minimization
- Intensity function is called (calculated) in every Minuit interaction (MIGRAD)

### This (AI/ML) Approach

- Convolution Neural Network (CNN) using Tensorflow/Keras
- CNN trained on large generated sample using Model
- After NN has been trained – parameters of pattern recognition learn - *no further optimization is done (no calls to calculate amplitudes)*.
- A histogram of angular distributions is “recognized” through a *fast linear matrix multiplication*.

## Model

Inspired by PWA amplitudes we use a two dimensional angular distribution given by :

$$I(\theta, \phi) = \left| \sum_{l,m} {}^{\epsilon}T_{l,|m|} {}^{\pm}Y_l^{|m|}(\theta, \phi) \right|^2 + \left| \sum_{l,m} {}^{\epsilon}T_{l,|m|} {}^{\mp}Y_l^{|m|}(\theta, \phi) \right|^2.$$

$${}^{\pm}Y_l^{|m|} = [Y_l^m(\theta, \phi) \mp (-1)^m Y_l^{-m}(\theta, \phi)] \Theta(m) \quad (4)$$

and  $Y_l^m(\theta, \phi)$  are the spherical harmonics.

${}^{\epsilon}T_{l,m}$  are the fitted parameters described by  $(\epsilon, l, m)$

With  $|\epsilon| = \pm 1; l = 0, 1, 2, \dots; m = 0, \pm 1, \dots, \pm l$

The T are complex



We also tried the distribution (inspired on moments):

$$I(\theta, \phi) = \sum_{L,M} \sqrt{\frac{(L-M)!}{(L+M)!}} H(LM) P_l^m(\theta) \cos(m\phi) \quad (9)$$

where  $P_l^m$  are the Associate Legendre Polynomials.

*$H(L, M)$  are the fitted parameters described by  $(L, M)$*

With  $L = 0, 1, 2, \dots$ ;  $M = 0, \pm 1, \dots, \pm L$

The H are real.

# Tools of the Trade

- Python 3.7 – Anaconda
  - Keras/TensorFlow - NN Libraries
  - Pandas/Numpy - Data Handling
  - Matplotlib - Visualization
- Three *excellent* machines that Scientific Computing provided which are accessible to jlab users!
  - 4 Titan RTX cards per node!



```
test = pd.read_csv("TRAIN/TRAIN.csv")
labels = pd.read_csv("TRAIN/TRAIN_labels.csv")
activation = 'relu'

model = Sequential()
model.add(Dense(units=1000, activation=activation, input_shape=(3600, )))
model.add(Dense(units=1000, activation=activation))
model.add(Dense(units=1000, activation=activation))
model.add(Dense(units=2))
model.compile(optimizer=adam(lr=.001), loss='mean_squared_error', metrics=['accuracy'])

model.fit(test, labels[labels.columns[1:]], epochs=300, batch_size=256, validation_split=0.2)
```

Sample Training Script

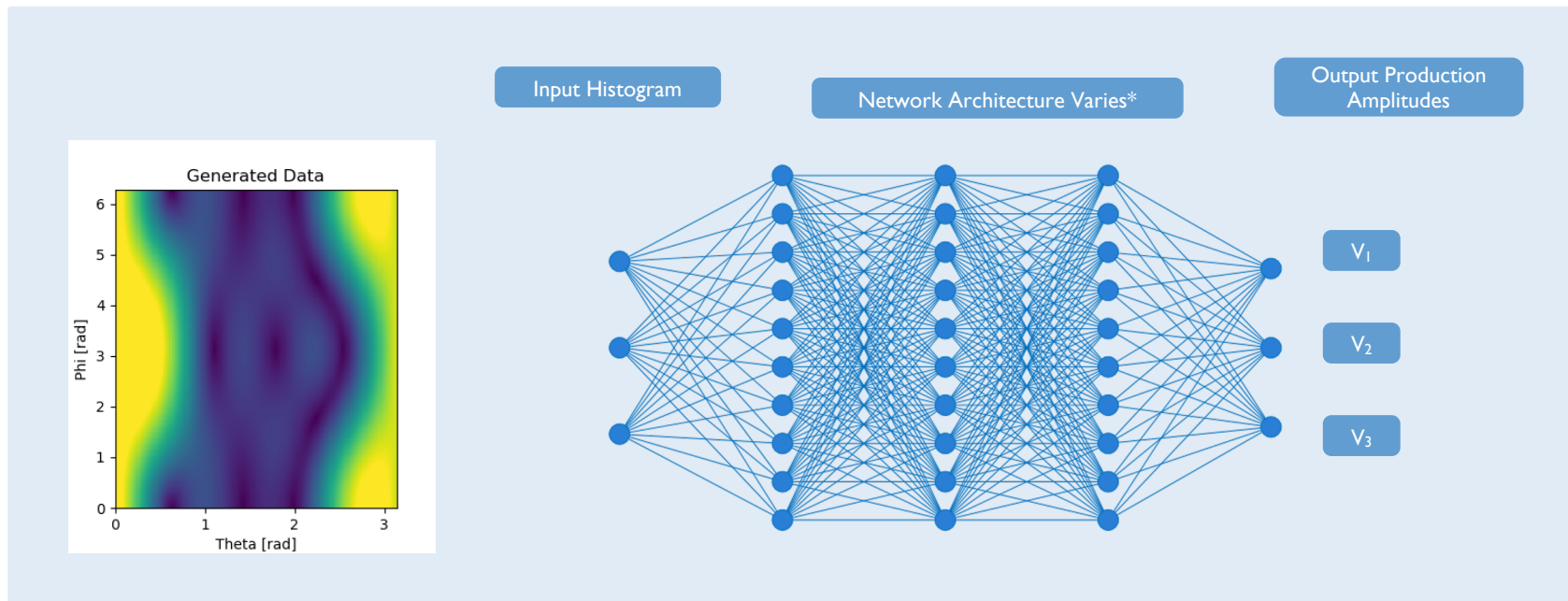


# Method

- Using various Neural Network topologies to fit binned angular distributions
  - Angular distribution is described by the intensity function histogram,  $I(\Phi, \theta)$ 
    - Creating histograms of 128x128 bins
- We started with generating angular distributions in the form of histograms stored in NumPy files (>10GB) but we changed to “on the fly” generation
- Conditions information:
  - Fix one phase angle for one of the waves.
  - Only train with a phase difference up to  $\pi$  otherwise there will be ambiguities
  - Regression with Neural Networks is different than traditional ML algorithms like Gradient Descent (MIGRAD-Minuit)
    - Multiple valid solutions will be given as *an average of valid solutions*, assuming training was not biased.
    - In some cases just the training will not converge

# Convolutional Neural Networks (CNN)

- 128 Dense Layers – Relu activation
- 4 to 8 layers
- 20 to 50 epochs



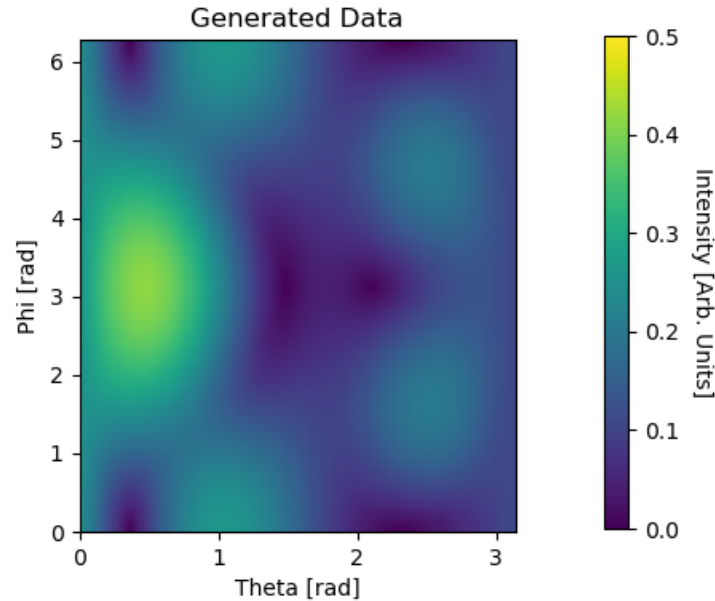
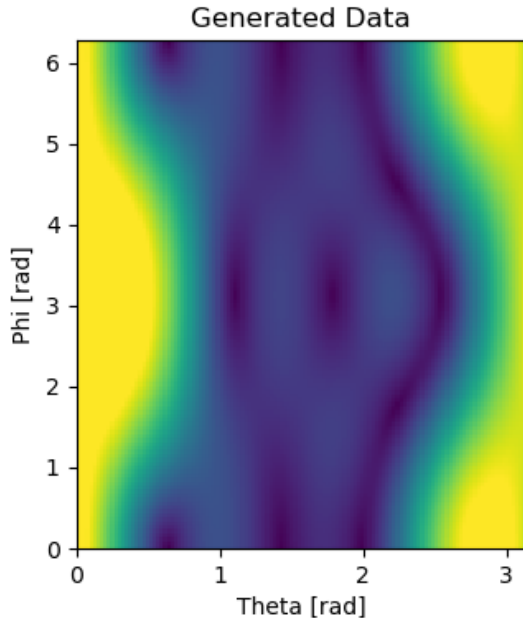
- Generate datasets using decay amplitudes with the following quantum numbers

- $L = 1, 2, 3$  (we used up to 5)
- $m = 0, 1$
- $\epsilon_R = -1, +1$
- 9 total waves (“18 fit parameters”)

$$T = Ae^{i\theta}$$

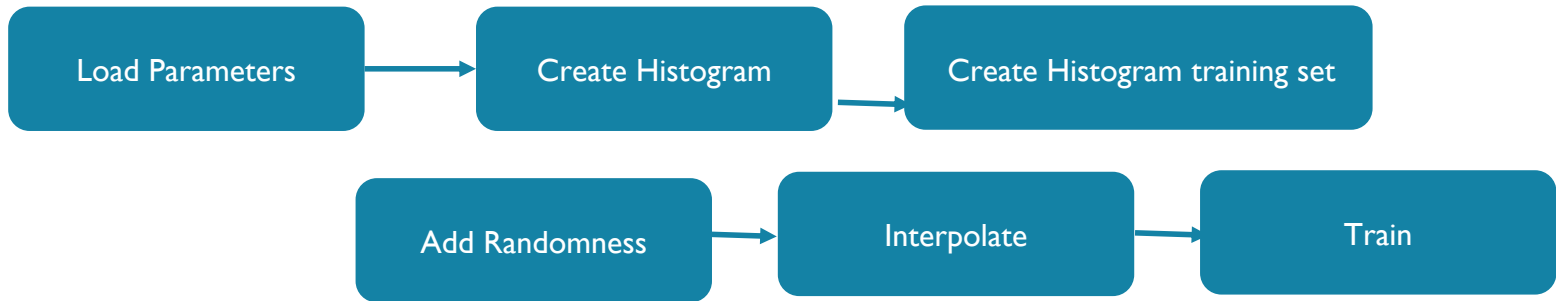
$$A = [0, 1]$$

$$\Theta = [0, 2\pi]. \text{ But used } [0, \pi]$$



# “On the fly” Generator

Due to large amount of data, training set is not stored in memory

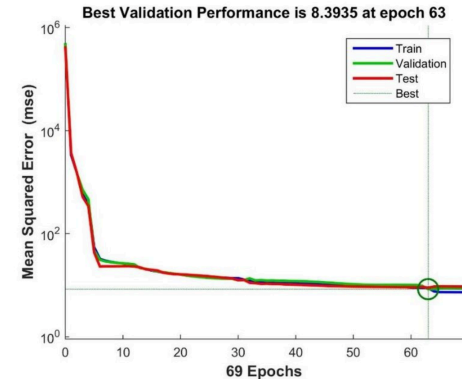
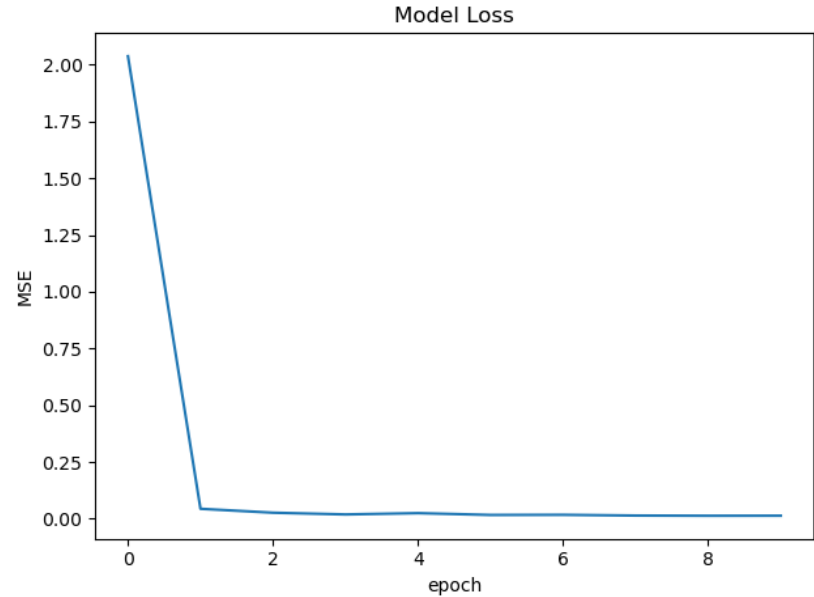


```
def vector3_generator():  
    while True:  
        yield random.random(), random.random(), random.random()  
  
if __name__ == '__main__':  
    V3_gen = vector3_generator()  
    for i in range(10):  
        print(next(V3_gen))
```

Generator Example – Returns tuple of 3 random numbers

# Training Information

- How long does it take to train?  
on average: Big jump initially and slow changes later – it can take a maximum of 2-3 hours (~15 epochs)



textbook

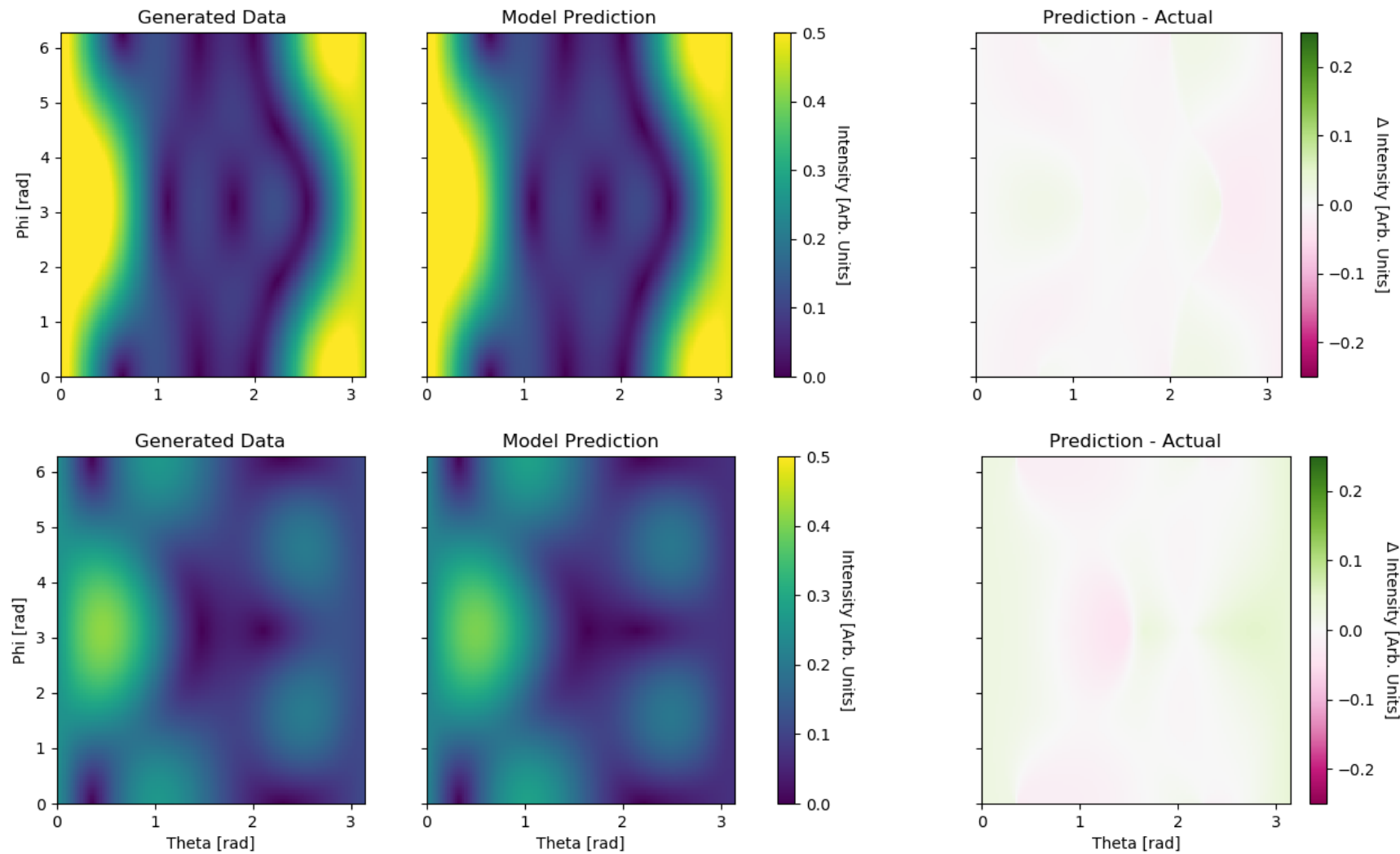
# Results from fits

- We compare the generated intensity function the CNN model predictions
- Model Architecture:
  - 128x128 2D histogram as input
  - 9x128 Dense Layers – Relu activation
  - Pwa production amplitudes as output
  - Moments as output
- In order to deal with the vast amounts of data we used generators to generate data for each epoch on the fly
- PWA (T complex) about 70% (ambiguities!!) correct
- Moments (H real) about 90% correct

Of course these results will depend on the model (i.e. physics reactions)

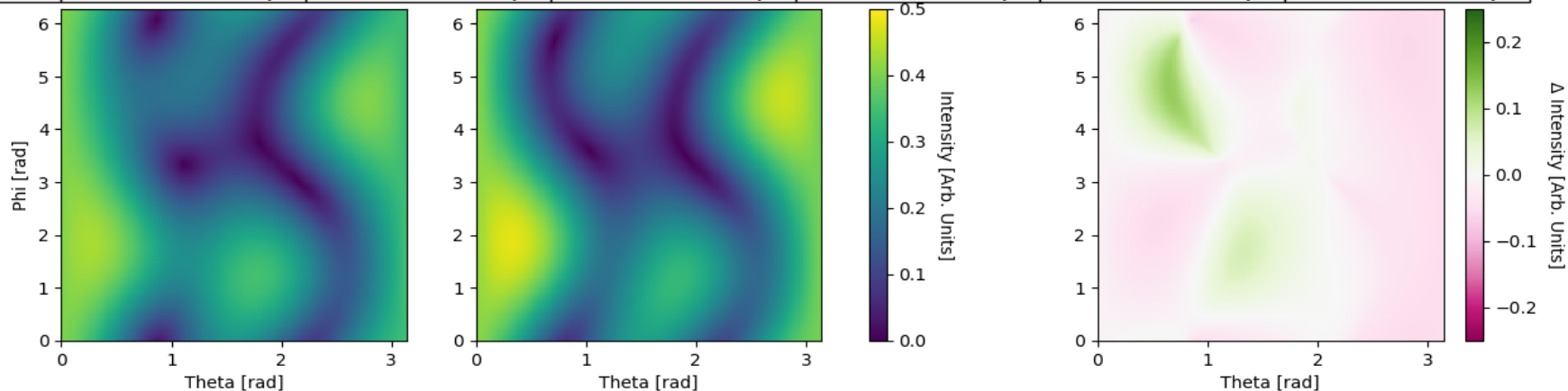


## PWA

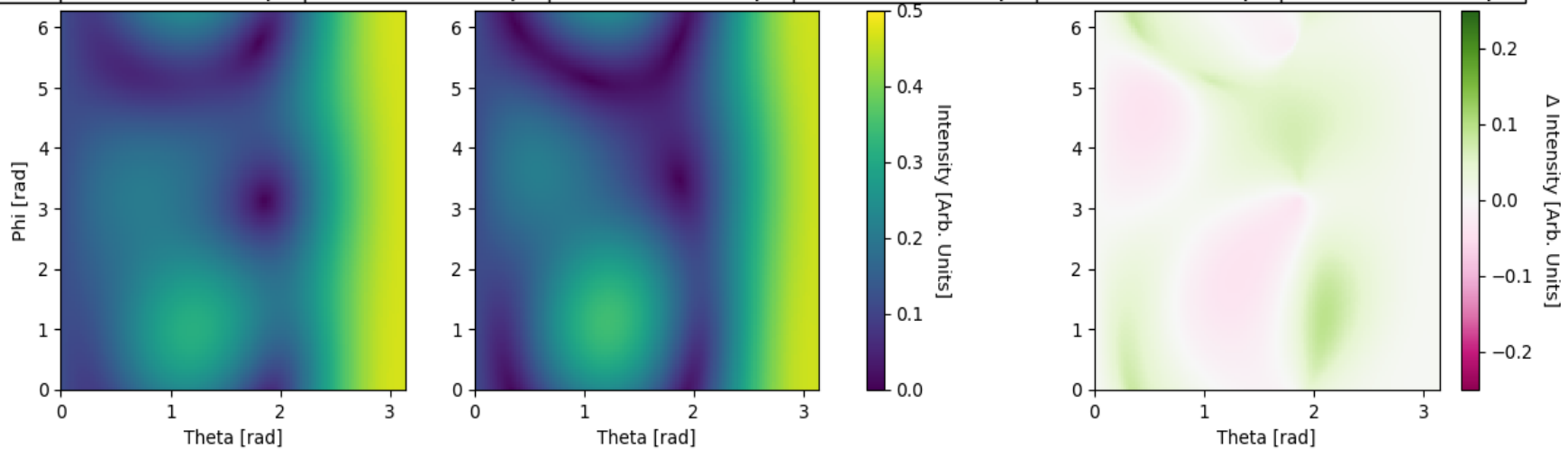


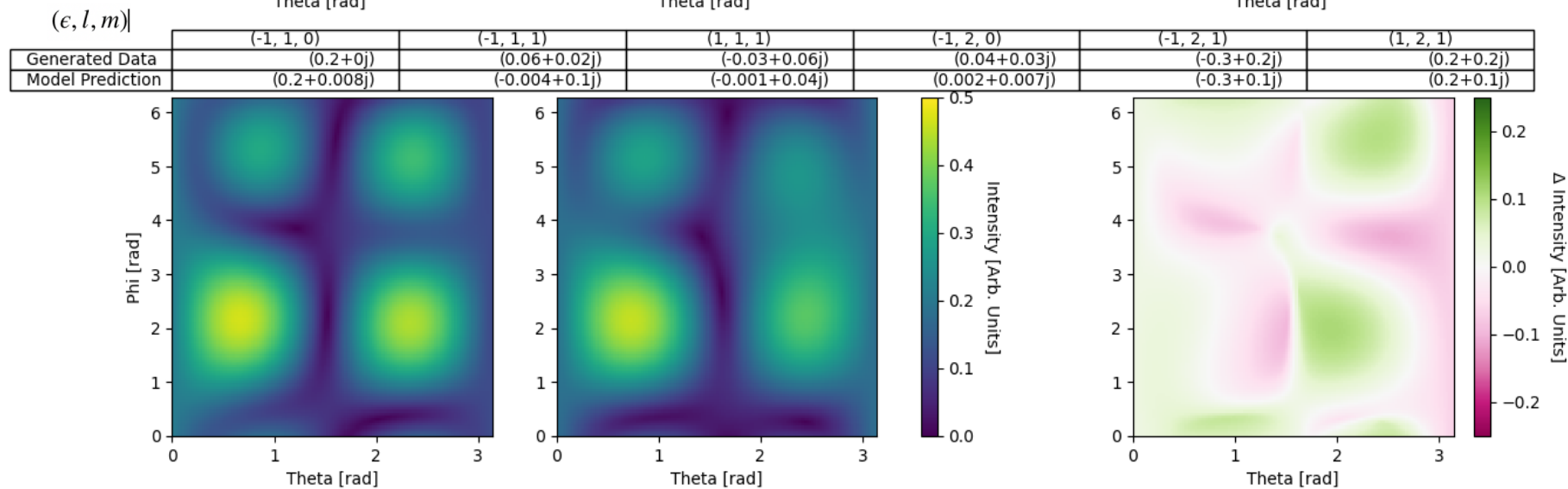
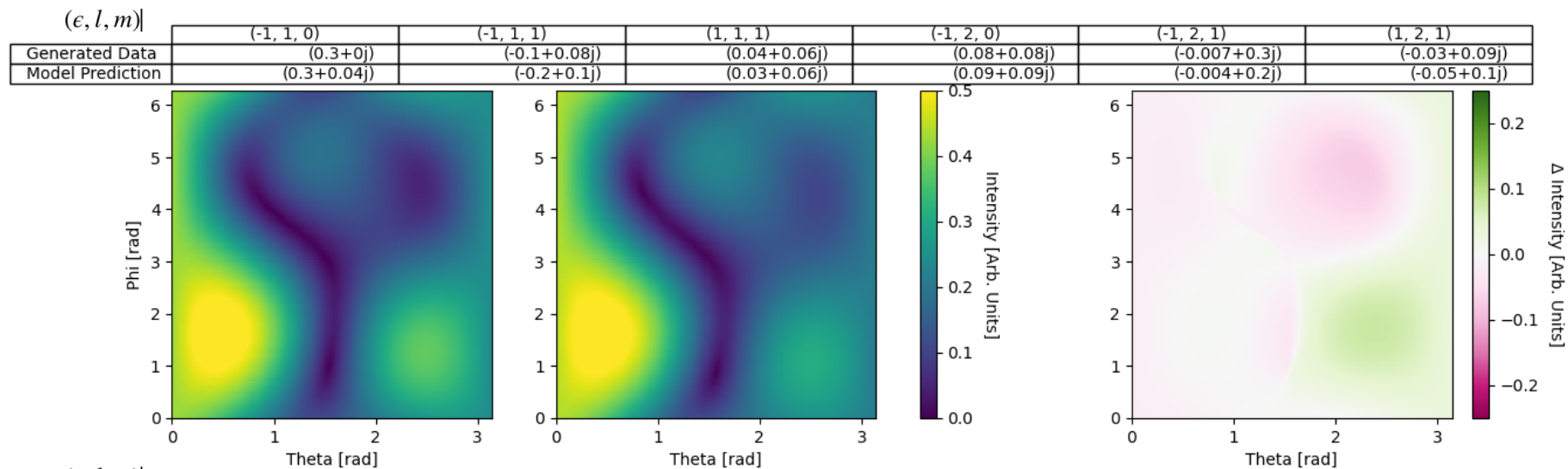
$(\epsilon, l, m)$ 

	$(-1, 1, 0)$	$(-1, 1, 1)$	$(1, 1, 1)$	$(-1, 2, 0)$	$(-1, 2, 1)$	$(1, 2, 1)$
Generated Data	$(0.08+0j)$	$(0.2+0.07j)$	$(0.09+0.08j)$	$(0.1+0.3j)$	$(-0.08+0.2j)$	$(-0.02+0.02j)$
Model Prediction	$(0.1-0.02j)$	$(0.1+0.07j)$	$(0.07+0.1j)$	$(0.08+0.3j)$	$(-0.2+0.2j)$	$(-0.01+0.03j)$

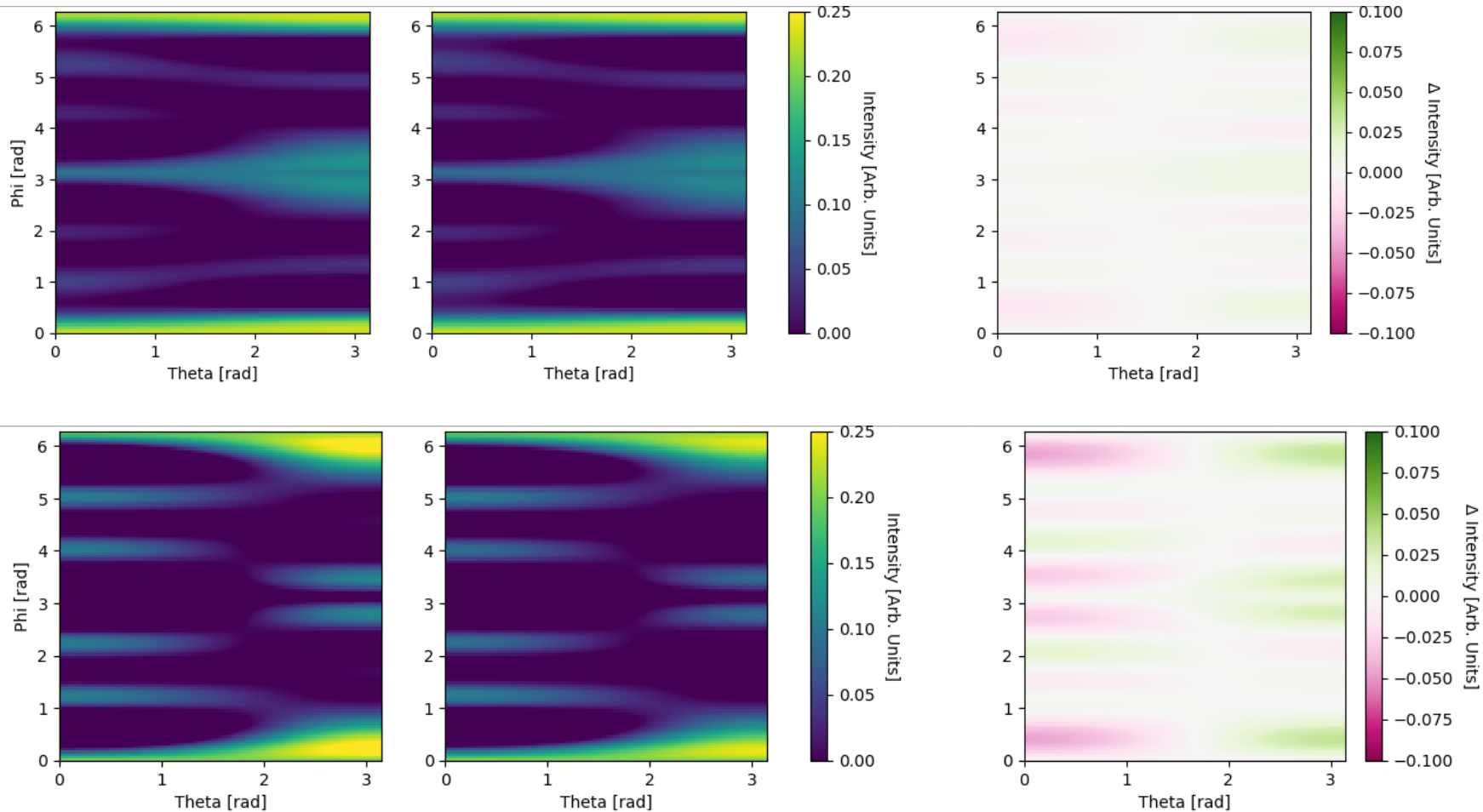
 $(\epsilon, l, m)$ 

	$(-1, 1, 0)$	$(-1, 1, 1)$	$(1, 1, 1)$	$(-1, 2, 0)$	$(-1, 2, 1)$	$(1, 2, 1)$
Generated Data	$(0.3+0j)$	$(0.2+0.09j)$	$(-0.006+0.1j)$	$(-0.2+0.08j)$	$(-0.05+0.08j)$	$(-0.02+0.1j)$
Model Prediction	$(0.2+0.007j)$	$(0.1+0.2j)$	$(-0.02+0.1j)$	$(-0.2+0.08j)$	$(-0.005+0.1j)$	$(-0.02+0.1j)$





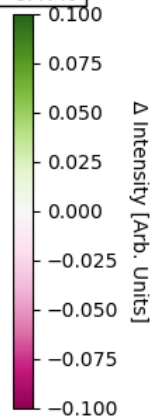
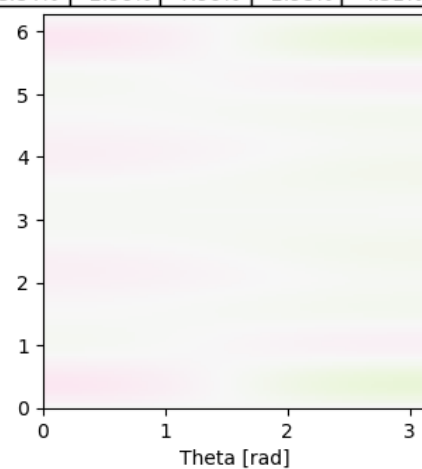
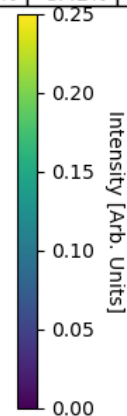
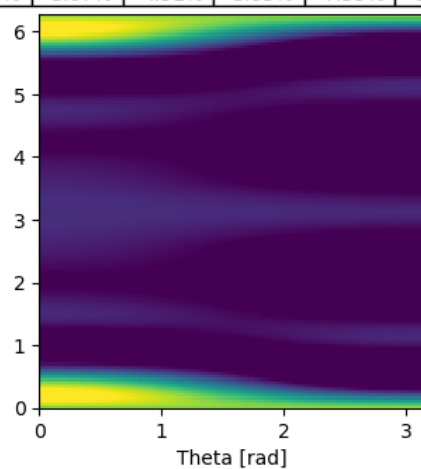
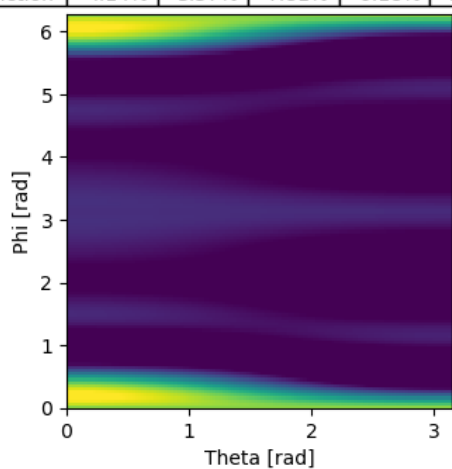
# Moments



## Moments

(L,M)

	(1, 0)	(1, 1)	(1, -1)	(2, 0)	(2, 1)	(2, -1)	(3, 0)	(3, 1)	(3, -1)	(4, 0)	(4, 1)	(4, -1)	(5, 0)	(5, 1)	(5, -1)	(6, 0)	(6, 1)	(6, -1)
Generated Data	4.02%	0.53%	4.06%	6.29%	8.15%	8.59%	4.81%	5.82%	9.04%	9.23%	0.67%	4.49%	5.87%	2.62%	7.14%	2.72%	7.12%	8.82%
Model Prediction	4.14%	3.57%	7.81%	6.18%	5.57%	5.67%	4.91%	3.85%	7.59%	8.77%	3.42%	7.86%	5.84%	2.96%	7.90%	2.98%	4.51%	6.47%



# Complex-Valued Deep Neural Networks

- Used in MRI Reconstruction
- Using complex-valued weights and biases makes sense for regression in PWA
  - Regression in PWA involves taking real valued observables and extracting complex amplitudes from those measured values

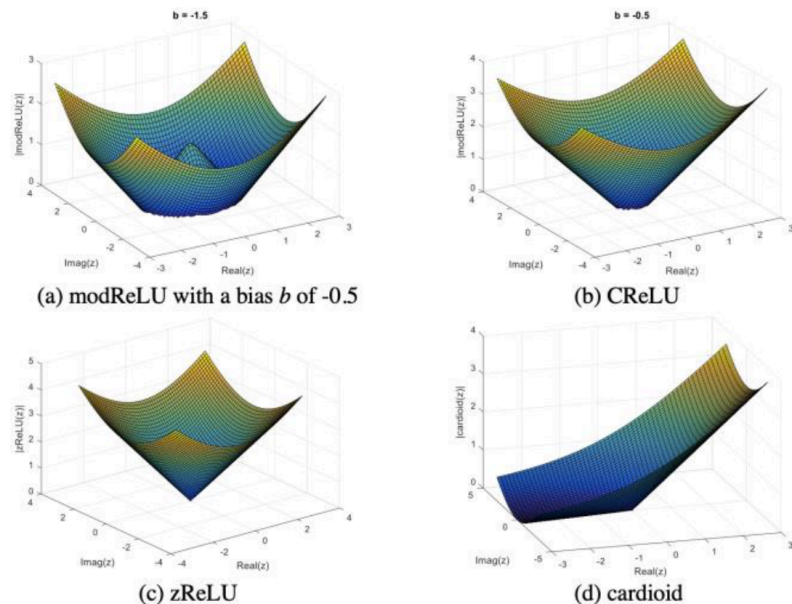
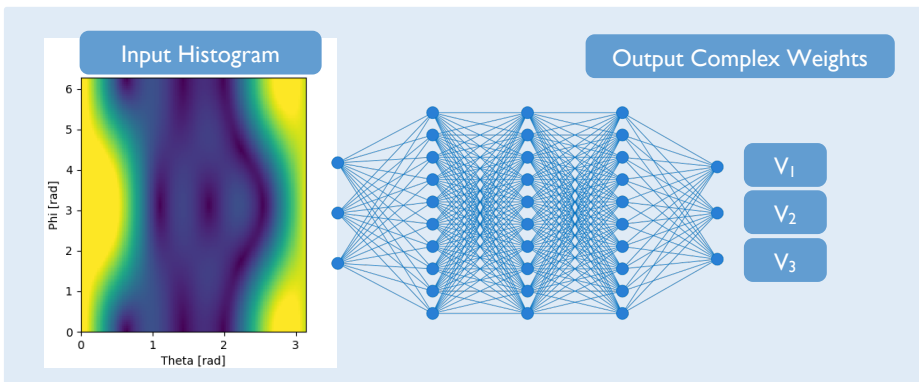


Fig. 1. Surface plots of the four tested complex-valued activation functions.

# Future Work

- How to move beyond 9-12 waves for Regression
  - In real world fits, can we have a second NN
- How to better deal with Ambiguities?
- Include Uncertainties
- Include Acceptance/Resolution corrections.
- **What is of AI-PWA beyond Regression??**

# Summary

- Initial work done with PyPWA-AI **looks promising** but is also challenging.
- Fits worked much better for Moments (real-no ambiguities) than for Waves (complex-ambiguities)
- Lessons learned
  - On the fly generation
  - Ambiguities make “training” difficult (convergency)



# Backup

# Group Members

- **Carlos Salgado (NSU/JLab)**
  - Professor and Joint appointment with Hall D
  - Department of Physics
- **William Phelps (CNU/JLab)**
  - Assistant Professor and Joint appointment with Hall B since Fall 2019
  - Department of Physics, Computer Science and Engineering
- **Andru Quiroga (CNU)**
  - Undergraduate Research Assistant
  - Major: Computer Engineering/Computer Science



Carlos Salgado



William Phelps



Andru Quiroga